

05/26/00

Jc772 U.S. PTO

Please type a plus sign (+) inside this box -

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/05 (1/98)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-2160

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: ELECTRO-OPTICAL DEVICE AND ELECTRONIC DEVICE

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [70]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [23]
4. ☒ Oath or Declaration Total Pages [5]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement [] Copies of IDS
(IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity [] Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
15. ☒ Certified Copy of Japanese Priority Document
No. 11-158787 Filed: June 4, 1999
16. ☐ Other:

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application information: Examiner: _____ Group/Art Unit: _____**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

Customer No. 22204

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Jeffrey L. Costellia
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FAX (703) 883-0370

Name: Jeffrey L. Costellia

Registration No. 35,483

Signature

Date: May 26, 2000

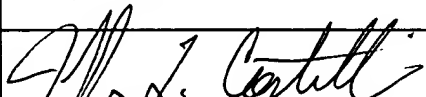
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL		Complete If Known																																			
<i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>		Application Number																																			
		Filing Date		May 26, 2000																																	
		First Named Inventor		Shunpei YAMAZAKI et al.																																	
		Examiner Name																																			
		Group Art Unit																																			
TOTAL AMOUNT OF PAYMENT		\$ 730.00		Attorney Docket Number																																	
				0756-2160																																	
METHOD OF PAYMENT (check one)		3. ADDITIONAL FEES FEE CALCULATION (continued)																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: NIXON PEABODY LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity		Small Entity																																	
		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																														
FEE CALCULATION 1. BASIC FILING FEE <table border="0" style="width: 100%; font-size: 0.8em;"> <tr> <td style="width: 15%;">Large Entity</td> <td style="width: 15%;">Small Entity</td> <td style="width: 40%;">Fee Description</td> <td style="width: 30%;">Fee Paid</td> </tr> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td></td> <td></td> </tr> <tr> <td>101 690</td> <td>201 345</td> <td>Utility filing fee</td> <td>[690]</td> </tr> <tr> <td>106 310</td> <td>206 155</td> <td>Design filing fee</td> <td>[]</td> </tr> <tr> <td>107 480</td> <td>207 240</td> <td>Plant filing fee</td> <td>[]</td> </tr> <tr> <td>108 690</td> <td>208 345</td> <td>Reissue filing fee</td> <td>[]</td> </tr> <tr> <td>114 150</td> <td>214 75</td> <td>Provisional filing fee</td> <td>[]</td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td>\$690.00</td> </tr> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)			101 690	201 345	Utility filing fee	[690]	106 310	206 155	Design filing fee	[]	107 480	207 240	Plant filing fee	[]	108 690	208 345	Reissue filing fee	[]	114 150	214 75	Provisional filing fee	[]	SUBTOTAL (1)			\$690.00	105 130	205 65	Surcharge-late filing fee or oath	
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114 150	214 75	Provisional filing fee	[]																																		
SUBTOTAL (1)			\$690.00																																		
127 50	227 25	Surcharge-late provisional filing fee or cover sheet																																			
139 130	139 130	Non-English specification																																			
147 2,520	147 2,520	For filing a request for reexamination																																			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																			
115 110	215 55	Ext for reply within first month																																			
116 380	216 190	Ext for reply within second mth																																			
117 870	217 435	Ext for reply within third mth																																			
118 1,360	218 680	Ext for reply within fourth mth																																			
128 1,850	228 925	Ext for reply within fifth month																																			
119 300	219 150	Notice of Appeal																																			
120 300	220 150	Filing brief in support of appeal																																			
121 260	221 130	Request for Oral Hearing																																			
138 1,510	138 1,510	Petition to institute public use proceeding																																			
140 110	240 55	Petition to revive-unavoidable																																			
141 1,210	241 605	Petition to revive-unintentional																																			
142 1,210	242 605	Utility issue fee (or reissue)																																			
143 430	243 215	Design issue fee																																			
144 580	244 290	Plant issue fee																																			
122 130	122 130	Petitions to the Commissioner																																			
123 50	123 50	Petitions related to provisional applications																																			
126 240	126 240	Submission of IDS																																			
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40.00																																		
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))																																			
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))																																			
		Other _____																																			
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SUBTOTAL (3)			\$40.00																																		
SUBTOTAL (2)		- 0 -																																			
SUBMITTED BY				Complete (if applicable)																																	
Typed or Printed Name		Jeffrey L. Costellia		Reg. Number	35,483																																
Signature				Date	May 26, 2000																																
				Deposit Account User ID	19-2380																																

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